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Amir N. Penn

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Registered Representative

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Date of Signature

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Philippe Guyot-Sionnest, et al.

Patent No.: 6,939,604 B1

Issue Date: September 6, 2005

Appln. No.: 09/694,090

Filed: October 19, 2000

For: DOPED SEMICONDUCTOR  
NANOCRYSTALS

Attorney Docket No: 7814-43

Examiner: Uhler, Nikolas, J.

Art Unit: 1773

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Certificate  
FEB 08 2007  
of Correction

TRANSMITTAL

ATTN: Certificate of Corrections Branch

Sir:

Attached are:

- ☒ Request for Certificate of Correction (2 pages – in duplicate); PTO Form 1050 Certificate of Correction (1 pg) (in duplicate); and
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			X100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

Application No.: 09/694,090  
Patent No.: 6,939,604 B1

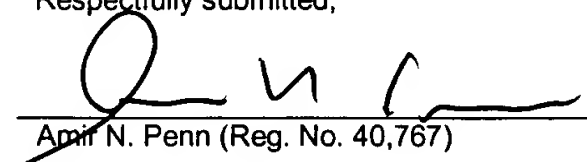
Attorney Docket No.: 7814-43

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

February 1, 2007  
Date

  
Amir N. Penn (Reg. No. 40,767)

A circular black ink stamp from the Japanese Patent Office. The text "OIPF JAPAN" is curved along the top inner edge, and "PATENT &amp; TRADEMARK OFFICE" is curved along the bottom inner edge. In the center, the date "FEB 06 2007" is stamped horizontally. Two horizontal lines cross the stamp, one above and one below the date.

(Date of Deposit)

Name of applicant, assignee, or  
Registered Representative

**Signature**

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: **DOPED SEMICONDUCTOR  
NANOCRYSTALS**

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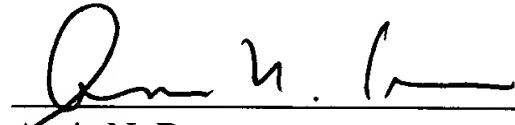
Group Art Unit: 1773

## REQUEST FOR CERTIFICATE OF CORRECTION

Please issue a Certificate of Correction for the above-identified patent to correct the errors listed on the accompanying PTO Form 1050. This request is being made pursuant to 35 U.S.C. § 254, since the errors comprise minor or typographical errors by the Patent and Trademark Office.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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Amir N. Penn  
Registration No. 40,767  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 6,939,604 B1  
APPLICATION NO. : 09/694,090  
ISSUE DATE : September 6, 2005  
INVENTOR(S) : Philippe Guyot-Sionnest et al.

Page 1 of 1

It is certified that error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

Column 10, in claim 17, line 3, delete "nanocrystals" and substitute --nanocrystal-- in its place.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Amir N. Penn  
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P.O. Box 10395  
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PATENT NO. 6,939,604 B1

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

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